Docket No.   243630US2CONT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Ken TAKEUCHI, et al.

Application SERIAL NO: 10/698,484   (PATENT NO. 6,970,388)   GAU: 2824

PATENT ISSUE DATE: **NOVEMBER 29, 2005**   Date Allowed: **January 19, 2005**

Date Application FILED: November 3, 2003   EXAMINER: LE, Vu Anh

FOR: NON-VOLATILE SEMICONDUCTOR MEMORY

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:
  Applicant(s) wish to disclose the following information.

REFERENCES

■ The applicant(s) wish to have placed in the file the <u>5</u> references listed on the attached form PTO-1449 and cited in the attached English translation of *Notice of Reasons for Rejection* re: Japanese Application No. JP 2000-304003 (mailed November 8, 2005). Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

☐ A check or credit card payment form is attached in the amount required under 37 CFR § 1.17(p).

RELATED CASES

☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.

☐ A check or credit card payment form is attached in the amount required under 37 CFR § 1.17(p).

CERTIFICATION

☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this statement.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
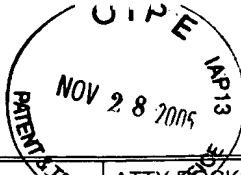MAIER & NEUSTADT, P.C.

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Form PTO 1449 (Modified)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY DOCKET NO. 243630US2CONT		SERIAL NO. 10/698,484
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT Ken TAKEUCHI, et al.		
		FILING DATE January 11, 2005	DATE ALLOWED **January 19, 2004**	GROUP 2824

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	NO
	AO	JP-A-08-221993	30 Aug 1996	JAPAN (with English Abstract)		x
	AP	JP-A-2000-163988	16 Jun 2000	JAPAN (with English Abstract)		x
	AQ	JP-A-06-131892	13 May 1994	JAPAN (with English Abstract)		x
	AR	JP-A-08-030515	02 Feb 1996	JAPAN (with English Abstract)		x
	AS	JP-A-10-125093	15 May 1998	JAPAN (with English Abstract)		x
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	☐ Additional References sheet(s) attached

Examiner	Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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